#### PAIENI COUPERATION TREATY

#### **PCT**



REC'D 06 JAN 2005

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70) Rec'd PCT/PTO 14 APR 2005

10/531446

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RL.P52		pent's file reference	FOR FURTHER ACTION  See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)					
Internation PCT/GE		olication No. 4484	International filing da 17.10.2003	te (day/month/yea	r) Priority date (day/month/year) 17.10.2002			
Internation	nal Pai	ent Classification (IPC) or b	oth national classification	on and IPC				
	H04B1/30							
Applicant								
TOUMA	ZTE	CHNOLOGY LIMITED	et al.,		when there are an in			
					- Rich -			
1. Thi	This international preliminary examination report has been prepared by this International Preliminary Examining  Authority and is transmitted to the applicant assembly and in the prepared by this International Preliminary Examining							
Aut	hority	and is transmitted to the	applicant according	to Article 36.	The international relationary Examining			
2. Thi	2. This REPORT consists of a total of 6 sheets, including this cover sheet.							
⊠	This bee	s report is also accompar n amended and are the i	nied by ANNEXES, i.e	e. sheets of the	description, claims and/or drawings which have taining rectifications made before this Authority			
	(se	Rule 70.16 and Section	607 of the Administr	ative Instruction	s under the PCT).			
The	se an	nexes consist of a total of	f 2 sheets.					
					•			
3. This	rono	et aantaina instissitssa s		_				
_		rt contains indications rel	ating to the following	items:	the second secon			
l "	Ø	Basis of the opinion						
 		Priority						
IV	III □ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability IV □ Lack of unity of invention							
V	⊠	Lack of unity of invention		sateta ma ma di t				
•	_	citations and explanation	ons supporting such a	with regard to no statement	ovelty, inventive step or industrial applicability;			
VI		Certain documents cite						
VII		Certain defects in the in	nternational application	on				
VIII		Certain observations or	n the international ap	plication , ,,	e to which we seem to the comment of the great and a sister of the comment			
Date of sub	missio	n of the demand		Date of comple	etion of this report			
13.05,2004								
10.00.20	0-4			28.12.2004				
Name and	Name and mailing address of the international			Authorized Offi	Cer			
preliminary	exami	ning authority: opean Patent Office - Gitscl			And State Palaceaux			
<i>6</i> ))	D-1	0958 Berlin +49 30 25901 - 0	or Gu. 103	Douglas, I				
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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/GB 03/04484

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1. With regard to the **elements** of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

	Description, Pages						
	1-	15	as originally filed				
	Cla	Claims, Numbers					
	5 (	part), 6-22	as originally filed				
	1-4	4, 5 (part), 23-26	received on 17.11.2004 with letter of 15.11.2004				
	Dra	awings, Sheets					
	1/7	'-7/7	as originally filed				
2.	Wi lan	With regard to the <b>language</b> , all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.					
	The	These elements were available or furnished to this Authority in the following language: , which is:					
		the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).					
		the language of pub	olication of the international application (under Rule 48.3(b)).				
			anslation furnished for the nurnoses of international proliminant eventional action (				
3.	Wit inte	th regard to any <b>nucl</b> e ernational preliminary	eotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:				
		contained in the inte	ernational application in written form.				
		filed together with th	ne international application in computer readable form.				
			ntly to this Authority in written form.				
		furnished subseque	ntly to this Authority in computer readable form.				
• .		The statement that the international a	the subsequently furnished written sequence listing does not go beyond the disclosure application as filed has been furnished.				
		The statement that the listing has been furn	he information recorded in computer readable form is identical to the written computer and				
4.	The	amendments have r	esulted in the cancellation of:				
		the description,	pages:				
		the claims,	Nos.:				
		the drawings,	sheets:				

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

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5.	5.   This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).						e they have	
		(Any replacement sheet conta report.)	aining :	such amendi	ments must be referred to u	nder item 1 and	d annexed to thi	
6.	Add	ditional observations, if necessary:						
٧.	Rea cita	easoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; tations and explanations supporting such statement						
1. Statement								
	Nov	velty (N)		Claims		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	inve	entive step (IS)	Yes: No:	Claims Claims	1-26			
	Indu	ustrial applicability (IA)	Yes: No:	Claims Claims	1-26			
2.	Cita	tions and explanations						
	see	separate sheet					•	
							·	
	•							

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 1. Reference is made to the following document:
  - D1: BRUECKMANN D: "REKONFIGUIERBARE HF-TEILE, DIE LOESUNG FUER MOBILFUNKGERAETE DER ZUKUNFT?" ITG FACHBERICHTE, VDE VERLAG, BERLIN, DE, no. 160, 10 May 2000 (2000-05-10), pages 83-94, XP001052678 ISSN: 0932-6022
- 2. The application does not meet the requirements of Article 6 PCT, because claims 1, 24, 26 are not clear.
- 2.1 Claims 1 does not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The claim gives no information as to the basis on which the selection is made, but rather attempts to define the subject-matter in terms of the result to be achieved, which merely amounts to a statement of the underlying problem, without providing the technical features necessary for achieving this result.
- 2.2 The same objection applies to independent claims 24 and 26.
- 2.3 In claim 1 the wording "...being configurable to down-convert a wanted component of the amplified input signal to one of at least two intermediate frequency bands..." does not make clear that the electric circuit is capable of converting down to either of the frequency bands. It simply states that it is capable of converting down to one of these bands.
- 3. The subject matter of claims 1, 6, 18, 22 and 24 of the present application is not new in the sense of Article 33(2) PCT.
- 3.1 Document D1 deals with reconfigurable cellular mobile radios capable of supporting several different standards (see page 83, first and last paragraphs). In section 2 existing architectures are dealt with. In section 3 the software radio is

introduced as a possible future reconfigurable cellular mobile radio. In section 4 a homodyne receiver front end is introduced as a possible RF front end for the software radio. The anti-aliasing filter between the mixer and the ADC is tunable to enable it to accommodate the different IF bandwidths etc. of the different standards which the software radio must support (see page 87, para 4). The frequency mixer is configured to always select an appropriate intermediate frequency band (i.e. zero IF) regardless of what standard, and therefore what input frequency, is currently being supported by the software radio.

The document D1 (Diagram 4.1) discloses (the references in parentheses applying to this document):

An electric circuit for use as a radio receiver or as part of a radio receiver, the electric circuit comprising:

amplification means for receiving an analogue input signal;

analogue frequency mixer means for receiving an output of the amplification means, the mixer means being configurable to down-convert a wanted component of the amplified input signal to one of at least two intermediate frequency bands (implicit);

analogue filter means for receiving an output of the frequency mixer means, the filter means being switchable between at least two filter configurations (page 87, para 4);

and control means coupled to the frequency mixer means and to the filter means for selecting an intermediate frequency band and filter configuration appropriate to the input signal (implicit).

The subject-matter of claim 1 is therefore not new (Article 33(2) PCT).

3.2 The document D1 (**Diagram 4.1**) discloses (the references in parentheses applying to this document):

An electric circuit for use as a radio receiver or as part of a radio receiver, the electric circuit comprising:

amplification means for receiving an analogue input signal; analogue frequency mixer means for receiving an output of the amplification means, the mixer means comprising a plurality of mixers which can be configured to provide mixer operation in a plurality of modes at least one of the mixers being reused in different operating modes (implicit);

analogue filter means for receiving an output of the frequency mixer means, the filter means being switchable between at least two filter configurations (page 87, para 4);

and control means coupled to the frequency mixer means and to the filter means for selecting an intermediate frequency band and filter configuration appropriate to the input signal (implicit).

The subject-matter of claim 24 is therefore not new (Article 33(2) PCT).

- 3.3 The additional features of claims 6, 18, 22 are also known from D1. Therefore these claims do not meet the requirements of Articles 33(2) PCT with respect to novelty.
- 4. The present application does not meet the requirements of Article 33(3) PCT, because the subject matter of claims 2 to 5, 7 to 17, 19 to 21, 23, 25, 26 does not involve an inventive step.
- 4.1 Document D1, which is considered to represent the most relevant state of the art, discloses the advantages and disadvantages of zero-IF, low-IF and heterodyne architectures. It would be clear to the man skilled in the art that he had the option of dynamically selecting the architecture most appropriate to the input signal.

Therefore the subject matter of claim 26 is obvious and cannot be regarded as inventive.

4.2 The present application does not meet the requirements of Article 33(3) PCT, because the subject matter of dependent claims 2 to 5, 7 to 17, 19 to 21, 23, 25 does not involve an inventive step. This is due to the fact that these claims concern features known to the person skilled in the art, such that no inventive merit can be attributed thereto.